

LREP Nixon & Vanderhye  
CLMN Number of Claims: 11  
ECL Exemplary Claim: 1  
DRWN 5 Drawing Figure(s); 4 Drawing Page(s)  
LN.CNT 884

=> d his

(FILE 'HOME' ENTERED AT 11:12:56 ON 13 AUG 2001)

FILE 'USPATFULL' ENTERED AT 11:13:09 ON 13 AUG 2001

L1 656 S NANOCRYSTAL?  
L2 347 S QUANTUM DOT?  
L3 962 S L1 OR L2  
L4 208 S L3 AND CORE?  
L5 57 S L4 AND SHELL?  
L6 29 S L5 AND LINK?

=> b medline

COST IN U.S. DOLLARS	SINCE FILE ENTRY	TOTAL SESSION
FULL ESTIMATED COST	27.31	27.46

FILE 'MEDLINE' ENTERED AT 11:15:35 ON 13 AUG 2001

FILE LAST UPDATED: 6 AUG 2001 (20010806/UP). FILE COVERS 1958 TO DATE.

On April 22, 2001, MEDLINE was reloaded. See HELP RLOAD for details.

MEDLINE now contains new records from the former NLM HEALTH STAR database. These records have an Entry Date and Update Date of 20010223.

MEDLINE thesauri in the /CN, /CT, and /MN fields incorporate the MeSH 2001 vocabulary. Enter HELP THESAURUS for details.

The OLDMEDLINE file segment now contains data from 1958 through 1965. Enter HELP CONTENT for details.

Left, right, and simultaneous left and right truncation are available in the Basic Index. See HELP SFIELDS for details.

THIS FILE CONTAINS CAS REGISTRY NUMBERS FOR EASY AND ACCURATE SUBSTANCE IDENTIFICATION.

=> s 16

75 NANOCRYSTAL?  
6709 QUANTUM  
13930 DOT?  
60 QUANTUM DOT?  
(QUANTUM(W)DOT?)  
62555 CORE?  
10670 SHELL?  
275570 LINK?  
L7 1 L5 AND LINK?

=> d ti ab

L7 ANSWER 1 OF 1 MEDLINE  
 TI Imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network.  
 AB This paper presents findings of the creation of biomimetic ion-gating properties with **core-shell** nanoparticle network architectures. The architectures were formed by hydrogen-bonding **linkages** via an exchange-cross-linking-precipitation reaction pathway using gold nanoparticles capped with thiolate **shell** and alkylthiols terminated with carboxylic groups as model building blocks. Such network assemblies have open frameworks in which void space is in the form of a channel or chamber with the nanometer-sized **cores** defining its size, the geometric arrangement defining its shape, and the **shell** structures defining its chemical specificity. The formation of the network **linkages** via head-to-head hydrogen-bonded carboxylic terminals and the reversible pH-tuned structural properties between neutral and ionic states were characterized using infrared reflectance spectroscopic technique. The biomimetic ion-gating properties were demonstrated by measuring the pH-tuned network "open-close" responses to charged redox probes. Such redox responses were shown to depend on the degree of protonation-deprotonation of carboxylic groups at the interparticle **linkages**, **core** sizes of the nanoparticles, and charges of the redox probes. Differences in structural networking, pH-tuning, and electrochemical gating properties were identified between the network films derived from nanoparticles of two different **core** sizes (2 and 5 nm). The mechanistic correlation of these structural properties was discussed. These findings have added a new pathway to the current approaches to biomimetic molecular recognition via design of **core-shell** nanoparticle architectures at both **nanocrystal** and molecular scales.

=> b biosis

COST IN U.S. DOLLARS	SINCE FILE	TOTAL
FULL ESTIMATED COST	ENTRY	SESSION
	0.35	27.81

FILE 'BIOSIS' ENTERED AT 11:15:59 ON 13 AUG 2001  
 COPYRIGHT (C) 2001 BIOSIS(R)

FILE COVERS 1969 TO DATE.  
 CAS REGISTRY NUMBERS AND CHEMICAL NAMES (CNs) PRESENT  
 FROM JANUARY 1969 TO DATE.

RECORDS LAST ADDED: 8 August 2001 (20010808/ED)

The BIOSIS file has been reloaded. Enter HELP RLOAD and HELP REINDEXING for details.

=> s 16

90 NANOCRYSTAL?  
 9948 QUANTUM  
 15735 DOT?  
 17 QUANTUM DOT?

(QUANTUM(W) DOT?)

75851 CORE?

34541 SHELL?

261250 LINK?

L8            0 L5 AND LINK?

=> logoff y

COST IN U.S. DOLLARS

SINCE FILE

TOTAL

ENTRY

SESSION

FULL ESTIMATED COST

0.74

28.55

STN INTERNATIONAL LOGOFF AT 11:16:12 ON 13 AUG 2001

Trying 3106016892...Open

Welcome to STN International! Enter x:x  
LOGINID:SSSPTAU182CXC  
PASSWORD:  
TERMINAL (ENTER 1, 2, 3, OR ?):2

\* \* \* \* \* Welcome to STN International \* \* \* \* \*

NEWS 1 Web Page URLs for STN Seminar Schedule - N. America  
NEWS 2 Dec 17 The CA Lexicon available in the CAPLUS and CA files  
NEWS 3 Feb 06 Engineering Information Encompass files have new names  
NEWS 4 Feb 16 TOXLINE no longer being updated  
NEWS 5 Apr 23 Search Derwent WPINDEX by chemical structure  
NEWS 6 Apr 23 PRE-1967 REFERENCES NOW SEARCHABLE IN CAPLUS AND CA  
NEWS 7 May 07 DGENE Reload  
NEWS 8 Jun 20 Published patent applications (A1) are now in USPATFULL  
NEWS 9 JUL 13 New SDI alert frequency now available in Derwent's  
DWPI and DPCI

NEWS EXPRESS July 11 CURRENT WINDOWS VERSION IS V6.0b,  
CURRENT MACINTOSH VERSION IS V5.0C (ENG) AND V5.0JB (JP),  
AND CURRENT DISCOVER FILE IS DATED 06 APRIL 2001  
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FILE 'HOME' ENTERED AT 10:35:53 ON 13 AUG 2001

=> b ca

COST IN U.S. DOLLARS	SINCE FILE ENTRY	TOTAL SESSION
FULL ESTIMATED COST	0.15	0.15

FILE 'CA' ENTERED AT 10:36:01 ON 13 AUG 2001  
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FILE COVERS 1947 - 9 Aug 2001 VOL 135 ISS 8  
FILE LAST UPDATED: 9 Aug 2001 (20010809/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

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=> s nanocrystal?

L1 11922 NANOCRYSTAL?

=> s l1 and core?

L2 232248 CORE?  
423 L1 AND CORE?

=> s l2 and shell?

L3 118627 SHELL?  
112 L2 AND SHELL?

=> s l3 and link?

L4 289149 LINK?  
10 L3 AND LINK?

=> d ti 1-10

L4 ANSWER 1 OF 10 CA COPYRIGHT 2001 ACS  
TI **Core-Shell** Gold Nanoparticle Assembly as Novel  
Electrocatalyst of CO Oxidation

L4 ANSWER 2 OF 10 CA COPYRIGHT 2001 ACS  
TI Semiconductor **nanocrystal** probes for biological applications

L4 ANSWER 3 OF 10 CA COPYRIGHT 2001 ACS  
TI Imparting Biomimetic Ion-Gating Recognition Properties to Electrodes with  
a Hydrogen-Bonding Structured **Core-Shell** Nanoparticle  
Network

L4 ANSWER 4 OF 10 CA COPYRIGHT 2001 ACS  
 TI Functionalized **nanocrystals** and their use in detection systems

L4 ANSWER 5 OF 10 CA COPYRIGHT 2001 ACS  
 TI Water-soluble fluorescent semiconductor **nanocrystals**

L4 ANSWER 6 OF 10 CA COPYRIGHT 2001 ACS  
 TI Organo luminescent semiconductor **nanocrystal** probes for biological applications and process for making and using such probes

L4 ANSWER 7 OF 10 CA COPYRIGHT 2001 ACS  
 TI Structures and Properties of Nanoparticle Thin Films Formed via a One-Step Exchange-Cross-**Linking**-Precipitation Route

L4 ANSWER 8 OF 10 CA COPYRIGHT 2001 ACS  
 TI Self-assembled thin films on electrodes from thiolate-encapsulated gold **nanocrystals**

L4 ANSWER 9 OF 10 CA COPYRIGHT 2001 ACS  
 TI Electrode nanomaterials self-assembled from thiolate-encapsulated gold **nanocrystals**

L4 ANSWER 10 OF 10 CA COPYRIGHT 2001 ACS  
 TI **Nanocrystals** of II-VI semiconductor materials

=> d all 1-10

L4 ANSWER 1 OF 10 CA COPYRIGHT 2001 ACS  
 AN 133:273236 CA  
 TI **Core-Shell** Gold Nanoparticle Assembly as Novel Electrocatalyst of CO Oxidation  
 AU Maye, Mathew M.; Lou, Yongbing; Zhong, Chuan-Jian  
 CS Department of Chemistry, State University of New York at Birmingham, Birmingham, NY, USA  
 SO Langmuir (2000), 16(19), 7520-7523  
 CODEN: LANGD5; ISSN: 0743-7463  
 PB American Chemical Society  
 DT Journal  
 LA English  
 CC 72-2 (Electrochemistry)  
 Section cross-reference(s): 67

AB This paper reports findings of a study of the electrocatalytic oxidn. of CO that occurs at **nanocrystal** gold **cores** with thiolate monolayer encapsulation and within a **core-shell** network assembly. The **core-shell** and network combinations allow the manipulation of **core** size properties and enhance the stability of nanosized catalysts against the propensity of aggregation while being catalytically active. Using alkanedithiolate-**linked** thin films assembled from two different gold **core** sizes (2 and 5 nm), the capped nanosites are both electrochem. accessible and catalytically active to CO oxidn. upon electrochem. activation. Cyclic voltammetric data are presented for assessing the electrocatalytic properties. The results have important implications for the design and tailoring of nanosized gold catalysts via manipulating **core-shell** chem.

ST **core shell** gold nanoparticle assembly electrocatalyst

carbon monoxide oxidn; alkanedithiolate capped **linked** gold nanoparticle electrocatalyst carbon monoxide oxidn

IT Nanoparticles  
     (**core-shell** gold nanoparticle assembly as novel electrocatalyst of CO oxidn.)

IT Thiols (organic), uses  
     RL: CAT (Catalyst use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
     (dithiols; gold nanoparticle capped and **linked** by alkanedithiols for electrocatalyst for CO oxidn.)

IT Oxidation catalysts  
     (electrochem.; **core-shell** gold nanoparticle assembly for CO)

IT Thiols (organic), uses  
     RL: CAT (Catalyst use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
     (**nanocrystal** gold **cores** with thiolate monolayer encapsulation for electrocatalyst for CO oxidn.)

IT Cyclic voltammetry  
     (of gold nanoparticles with nonanedithiol coated on glassy carbon electrode in KOH with and without satn. by CO)

IT 7440-57-5, Gold, uses  
     RL: CAT (Catalyst use); PRP (Properties); USES (Uses)  
     (**core-shell** gold nanoparticle assembly as novel electrocatalyst of CO oxidn.)

IT 630-08-0, Carbon monoxide, properties  
     RL: PRP (Properties); RCT (Reactant)  
     (**core-shell** gold nanoparticle assembly as novel electrocatalyst of CO oxidn.)

IT 1310-58-3, Potassium hydroxide (KOH), uses  
     RL: NUU (Nonbiological use, unclassified); PRP (Properties); USES (Uses)  
     (cyclic voltammetry of gold nanoparticles with nonanedithiol coated on glassy carbon electrode in KOH with and without satn. by CO)

IT 3489-28-9, 1,9-Nonanedithiol  
     RL: CAT (Catalyst use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
     (gold nanoparticle capped and **linked** by nonanedithiol for electrocatalyst for CO oxidn.)

IT 143-10-2, Decanethiol  
     RL: CAT (Catalyst use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
     (**nanocrystal** gold **cores** with thiolate monolayer encapsulation for electrocatalyst for CO oxidn.)

RE.CNT 44

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L4 ANSWER 2 OF 10 CA COPYRIGHT 2001 ACS

AN 133:234721 CA

TI Semiconductor **nanocrystal** probes for biological applications

IN Weiss, Shimon; Bruchez, Marcel; Alvisatos, Paul

PA The Regents of the University of California, USA

SO PCT Int. Appl., 71 pp.

CODEN: PIXXD2

DT Patent

LA English

IC ICM G01N033-58

ICS G01N033-542; C12Q001-68; G01N033-533

CC 9-1 (Biochemical Methods)

FAN.CNT 3

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 2000055631	A1	20000921	WO 2000-US5257	20000228
	W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
	US 6207392	B1	20010327	US 1999-259982	19990301
PRAI	US 1999-259982	A	19990301		
	US 1997-978450	A2	19971125		

AB A semiconductor **nanocrystal** compd. capable of linking  
 to one or more affinity mols. comprises (1) one or more semiconductor



nanocrystals capable of, in response to exposure to a first energy, providing a second energy, and (2) one or more **linking** agents, having a first portion **linked** to the one or more semiconductor **nanocrystals** and a second portion capable of **linking** to one or more affinity mols. Also disclosed are one or more of semiconductor **nanocrystal** compds. **linked** to one or more affinity mols. to form a semiconductor **nanocrystal** probe capable of bonding with one or more detectable substances in a material being analyzed, and are capable of, in response to exposure to a first energy, providing a second energy. The probe is capable of emitting

electromagnetic radiation in a narrow wavelength band and/or absorbing, scattering, or diffracting energy when excited by an electromagnetic radiation source of narrow or broad bandwidth, or a particle beam. The probe is stable to repeated exposure to energy in the presence of oxygen and/or other radicals.

ST semiconductor **nanocrystal** probe

IT Coupling agents  
(for coupling affinity mols. to **nanocrystal**; semiconductor **nanocrystal** probes for biol. applications)

IT Proteins, specific or class  
RL: ARG (Analytical reagent use); ANST (Analytical study); USES (Uses)  
(immobilized; semiconductor **nanocrystal** probes for biol. applications)

IT Nucleic acids  
RL: ARG (Analytical reagent use); ANST (Analytical study); USES (Uses)  
(labeled; semiconductor **nanocrystal** probes for biol. applications)

IT Affinity  
Analysis  
Bioassay  
Biosensors  
Energy transfer  
**Nanocrystals**  
Nucleic acid hybridization  
Semiconductor compounds  
Semiconductor materials  
(semiconductor **nanocrystal** probes for biol. applications)

IT Nucleic acids  
RL: ANT (Analyte); ANST (Analytical study)  
(semiconductor **nanocrystal** probes for biol. applications)

IT Probes (nucleic acid)  
RL: ARG (Analytical reagent use); ANST (Analytical study); USES (Uses)  
(semiconductor **nanocrystal** probes for biol. applications)

IT Molecules  
(small, as affinity mols. on probes; semiconductor **nanocrystal** probes for biol. applications)

IT 1306-23-6, Cadmium sulfide (CdS), reactions 1314-98-3, Zinc sulfide, reactions 12442-27-2, Cadmium zinc sulfide ((Cd,Zn)S)  
RL: RCT (Reactant)  
(CdSe **nanocrystals** coated with, silica coating of; semiconductor **nanocrystal** probes for biol. applications)

IT 78-50-2  
RL: DEV (Device component use); RCT (Reactant); USES (Uses)  
(CdSe/CdS **core/shell nanocrystals** coated with, mercaptobenzoic acid reaction with; semiconductor **nanocrystal** probes for biol. applications)

IT 12626-36-7, Cadmium selenide sulfide (Cd(Se,S))  
RL: DEV (Device component use); RCT (Reactant); USES (Uses)  
(**core/shell nanocrystals**, coated with

tris(octylphosphine) oxide, mercaptobenzoic acid reaction with;  
 semiconductor **nanocrystal** probes for biol. applications)

IT 1306-24-7, Cadmium selenide (CdSe), reactions  
 RL: DEV (Device component use); RCT (Reactant); USES (Uses)  
 (**nanocrystals**, silica coating of; semiconductor  
**nanocrystal** probes for biol. applications)

IT 75-77-4, Chlorotrimethylsilane, reactions 1074-36-8,  
 (4-Mercapto)benzoic  
 acid 4420-74-0, 3-(Mercaptopropyl)trimethoxysilane 13822-56-5,  
 3-Aminopropyltrimethoxysilane 84962-98-1  
 RL: RCT (Reactant)  
 (semiconductor **nanocrystal** probes for biol. applications)

RE.CNT 7  
 RE

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L4 ANSWER 3 OF 10 CA COPYRIGHT 2001 ACS  
 AN 133:39997 CA  
 TI Imparting Biomimetic Ion-Gating Recognition Properties to Electrodes with  
 a Hydrogen-Bonding Structured **Core-Shell** Nanoparticle  
 Network  
 AU Zheng, Wenxia; Maye, Mathew M.; Leibowitz, Frank L.; Zhong, Chuan-Jian  
 CS Department of Chemistry, State University of New York at Binghamton,  
 Binghamton, NY, 13902, USA  
 SO Anal. Chem. (2000), 72(10), 2190-2199  
 CODEN: ANCHAM; ISSN: 0003-2700  
 PB American Chemical Society  
 DT Journal  
 LA English  
 CC 9-1 (Biochemical Methods)  
 Section cross-reference(s): 6, 76

AB This paper presents findings of the creation of biomimetic ion-gating  
 properties with **core-shell** nanoparticle network  
 architectures. The architectures were formed by hydrogen-bonding  
**linkages** via an exchange-crosslinking-pptn. reaction pathway using  
 gold nanoparticles capped with thiolate **shell** and alkylthiols  
 terminated with carboxylic groups as model building blocks. Such network  
 assemblies have open frameworks in which void space is in the form of a  
 channel or chamber with the nanometer-sized **cores** defining its  
 size, the geometric arrangement defining its shape, and the **shell**  
 structures defining its chem. specificity. The formation of the network  
**linkages** via head-to-head hydrogen-bonded carboxylic terminals and  
 the reversible pH-tuned structural properties between neutral and ionic  
 states were characterized using IR reflectance spectroscopic technique.  
 The biomimetic ion-gating properties were demonstrated by measuring the  
 pH-tuned network "open-close" responses to charged redox probes. Such  
 redox responses were shown to depend on the degree of protonation-  
 deprotonation of carboxylic groups at the interparticle **linkages**  
 , **core** sizes of the nanoparticles, and charges of the redox  
 probes. Differences in structural networking, pH-tuning, and  
 electrochem.  
 gating properties were identified between the network films derived from  
 nanoparticles of two different **core** sizes (2 and 5 nm). The  
 mechanistic correlation of these structural properties was discussed.

These findings have added a new pathway to the current approaches to biomimetic mol. recognition via design of **core-shell** nanoparticle architectures at both **nanocrystal** and mol. scales.

ST ion gating nanoparticle network biomimetic electrode gold mercaptoundecanoic acid

IT Electric current  
(biol., gating; imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

IT Carboxyl group  
Cations  
Electrodes  
Hydrogen bond  
Nanoparticles  
(imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

IT Thiols (organic), biological studies  
RL: BSU (Biological study, unclassified); DEV (Device component use); PEP (Physical, engineering or chemical process); BIOL (Biological study);

PROC (Process); USES (Uses)  
(imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

IT Ion channel  
RL: BSU (Biological study, unclassified); PEP (Physical, engineering or chemical process); BIOL (Biological study); PROC (Process)  
(imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

IT 71310-21-9, 11-Mercaptoundecanoic acid  
RL: BSU (Biological study, unclassified); DEV (Device component use); PEP (Physical, engineering or chemical process); BIOL (Biological study);

PROC (Process); USES (Uses)  
(imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

IT 7440-57-5, Gold, biological studies  
RL: BSU (Biological study, unclassified); DEV (Device component use); PEP (Physical, engineering or chemical process); BIOL (Biological study);

PROC (Process); USES (Uses)  
(nanoparticle; imparting biomimetic ion-gating recognition properties to electrodes with a hydrogen-bonding structured **core-shell** nanoparticle network)

RE.CNT 66

RE

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L4 ANSWER 4 OF 10 CA COPYRIGHT 2001 ACS

AN 132:331662 CA

TI Functionalized **nanocrystals** and their use in detection systems

IN Barbera-guillem, Emilio; Castro, Stephanie  
 PA Biocrystal Limited, USA  
 SO PCT Int. Appl., 46 pp.  
 CODEN: PIXXD2  
 DT Patent  
 LA English  
 IC A61K009-16; B32B005-16; F21V009-16; G01J003-30; G01N021-64; G01N023-02;  
 G01N023-223; G01N033-533  
 CC 9-1 (Biochemical Methods)  
 Section cross-reference(s): 78, 79, 80  
 FAN.CNT 4

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 2000027365	A1	20000518	WO 1999-US26487	19991110
	W: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ,				

TM

	RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
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US 6221602	B1	20010424	US 1999-436159	19991109
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WO 2000028089	A1	20000518	WO 1999-US26616	19991110
W: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ,				

TM

RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
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PRAI US 1998-109626	P	19981124
US 1998-107828	P	19981110
US 1998-107829	P	19981110

AB Provided are compns. comprising water-sol., functionalized **nanocrystals**. The water-sol. functionalized **nanocrystals** comprise quantum dots capped with a layer of a capping compd., and further comprise, by operably **linking** and in a successive manner, one or more addnl. compds. Preferably, an addnl. compd. comprises diaminocarboxylic acid which is operatively **linked** to the capping compd., and may further comprise an amino acid, and affinity ligand, or a combination thereof. Also provided are methods of using the functionalized **nanocrystals** having an affinity ligand to detect the presence or absence of a target substrate in a sample by contacting the functionalized **nanocrystals** with the sample so that complexes are formed between the functionalized **nanocrystals** and substrate, if the substrate is present; exposing the complexes in the detection system to an excitation light source, and detecting the emitted fluorescence peak. Quantum dot functionalized **nanocrystals** having a CdSe **core**, a ZnS **shell**, and mercaptoacetic acid capping, were activated with EDC and sulfo-NHS and reacted with wheat germ agglutinin. The **nanocrystals** were then used to fluorescently detect Met-129 cancer cells.

ST functionalized **nanocrystal** sensor; quantum dot **nanocrystal** agglutinin cancer cell fluorescence

IT Animal cell line  
(Met-129 cell, fluorescent staining of, with **nanocrystals**  
contg. wheat germ agglutinin; functionalized **nanocrystals** and  
use in detection systems)

IT Ligands  
RL: ARG (Analytical reagent use); DEV (Device component use); ANST  
(Analytical study); USES (Uses)  
(affinity; functionalized **nanocrystals** and use in detection  
systems)

IT Amino acids, uses  
RL: DEV (Device component use); USES (Uses)  
(diamino; functionalized **nanocrystals** and use in detection  
systems)

IT Cytometry  
(flow; functionalized **nanocrystals** and use in detection  
systems)

IT Analytical apparatus  
Fluorescence microscopy  
Fluorometry  
**Nanocrystals**  
Nucleic acid hybridization  
Quantum dot devices  
(functionalized **nanocrystals** and use in detection systems)

IT Avidins  
Nucleic acids  
Peptides, uses  
RL: ARG (Analytical reagent use); DEV (Device component use); ANST  
(Analytical study); USES (Uses)  
(functionalized **nanocrystals** and use in detection systems)

IT Agglutinins and Lectins  
RL: ARG (Analytical reagent use); DEV (Device component use); RCT  
(Reactant); ANST (Analytical study); USES (Uses)  
(functionalized **nanocrystals** and use in detection systems)

IT Amino acids, uses  
RL: DEV (Device component use); USES (Uses)  
(functionalized **nanocrystals** and use in detection systems)

IT Carboxylic acids, uses  
RL: DEV (Device component use); USES (Uses)  
(mercapto, as capping compd. of **nanocrystal**; functionalized  
**nanocrystals** and use in detection systems)

IT Antibodies  
RL: ARG (Analytical reagent use); DEV (Device component use); ANST  
(Analytical study); USES (Uses)  
(monoclonal; functionalized **nanocrystals** and use in detection  
systems)

IT Molecules  
(sorting of; functionalized **nanocrystals** and use in detection  
systems)

IT 1306-24-7, Cadmium selenide (CdSe), uses  
RL: DEV (Device component use); USES (Uses)  
(as **core** of **nanocrystal**; functionalized  
**nanocrystals** and use in detection systems)

IT 1314-98-3, Zinc sulfide, uses  
RL: DEV (Device component use); USES (Uses)  
(as **shell** of **nanocrystal**; functionalized  
**nanocrystals** and use in detection systems)

IT 9013-20-1, Streptavidin  
RL: ARG (Analytical reagent use); DEV (Device component use); ANST  
(Analytical study); USES (Uses)  
(functionalized **nanocrystals** and use in detection systems)

IT 52-90-4, Cysteine, uses 56-12-2, .gamma.-Aminobutyric acid, uses  
 56-40-6, Glycine, uses 56-45-1, Serine, uses 56-85-9, Glutamine, uses  
 70-26-8, Ornithine 70-47-3, Asparagine, uses 72-19-5, Threonine, uses  
 74-79-3, Arginine, uses 107-95-9, .beta.-Alanine 305-62-4,  
 2,4-Diaminobutyric acid 372-75-8, Citrulline 498-59-9, Djenkolic acid  
 515-94-6, 2,3-Diaminopropionic acid 583-93-7, 2,6-Diaminopimelic acid  
 616-07-9, Ornithine 619-05-6, 3,4-Diaminobenzoic acid 672-15-1,  
 Homoserine 923-01-3, .beta.-Cyanoalanine 1190-94-9, 5-Hydroxylysine  
 6027-13-0, Homocysteine  
 RL: DEV (Device component use); USES (Uses)  
 (functionalized **nanocrystals** and use in detection systems)

IT 56-87-1, Lysine, reactions  
 RL: DEV (Device component use); RCT (Reactant); USES (Uses)  
 (functionalized **nanocrystals** and use in detection systems)

IT 68-11-1, Mercaptoacetic acid, reactions 78-50-2, TOPO 506-82-1,  
 Dimethyl cadmium 557-20-0, Diethyl zinc 1892-57-5,  
 1-Ethyl-3-(dimethylaminopropyl)carbodiimide 3385-94-2,  
 Hexamethyldisilathiane 20612-73-1 82436-78-0  
 RL: RCT (Reactant)  
 (in **nanocrystal** prepn.; functionalized **nanocrystals**  
 and use in detection systems)

RE.CNT 5

RE

- (1) Egawa; JP 11087689 A 1999 CA
- (2) Gallagher; US 5525377 A 1996 CA
- (3) Lawandy; US 5882779 A 1999 CA
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- (5) Weiss; US 5990479 A 1999 CA

L4 ANSWER 5 OF 10 CA COPYRIGHT 2001 ACS

AN 132:233974 CA

TI Water-soluble fluorescent semiconductor **nanocrystals**

IN Bawendi, Mounqi G.; Mikulec, Frederick V.; Lee, Jin-Kyu

PA Massachusetts Institute of Technology, USA

SO PCT Int. Appl., 55 pp.

CODEN: PIXXD2

DT Patent

LA English

IC ICM G01N033-58

ICS H05B033-10

CC 9-1 (Biochemical Methods)

FAN.CNT 4

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 2000017655	A1	20000330	WO 1999-US21375	19990917
	W:	AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM			
	RW:	GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG			
	US 6251303	B1	20010626	US 1998-156863	19980918
	AU 9961485	A1	20000410	AU 1999-61485	19990917
	EP 1116036	A1	20010718	EP 1999-948273	19990917
	R:	AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO			
PRAI	US 1998-156863	A	19980918		

US 1998-100947	P	19980918
US 1998-101046	P	19980918
US 1998-160454	A	19980924
US 1998-160458	A	19980924
US 1999-397428	A	19990917
US 1999-397432	A	19990917
US 1999-397436	A	19990917
WO 1999-US21375	W	19990917

AB A water-sol. semiconductor **nanocrystal** capable of light emission is provided. The **nanocrystal** including a semiconductor **nanocrystal core** having a selected band gap energy, a **shell** layer overcoating the **core** comprised of a semiconductor material having a band gap energy greater than that of the semiconductor **nanocrystal**, and an outer layer comprised of a mol. having at least one **linking** group for attachment of the mol. to the overcoating **shell** layer and at least one hydrophilic group optionally spaced apart from the **linking** group by a hydrophobic region sufficient to prevent electron charge transfer across the hydrophobic region. A typical formula is:  $H_2X((CH_2)_nCO_2H)_y$  or its salt, where X = first portion the ligand, S, N, P, O=P; n .gtoreq. 6; z and y are selected to satisfy the valence requirements of X; other ligands composed of various org. groups are used as well.

ST semiconductor **nanocrystal** fluorescence water soluble

IT Cytometry  
(FACS (fluorescence-activated cell sorting); water-sol. fluorescent semiconductor **nanocrystals**)

IT Imaging  
(fluorescent; water-sol. fluorescent semiconductor **nanocrystals**)

IT Immunoassay  
(immunocytochem.; water-sol. fluorescent semiconductor **nanocrystals**)

IT Band gap  
Biosensors  
Fluorescent indicators  
Hydrophilicity  
Hydrophobicity  
Immunoassay  
Semiconductor materials  
(water-sol. fluorescent semiconductor **nanocrystals**)

IT 58-85-5, Biotin 9013-20-1, Streptavidin  
RL: ARG (Analytical reagent use); DEV (Device component use); ANST (Analytical study); USES (Uses)  
(water-sol. fluorescent semiconductor **nanocrystals**)

IT 1303-00-0, Gallium arsenide (GaAs), uses 1303-11-3, Indium arsenide (InAs), uses 1306-19-0, Cadmium oxide (CdO), uses 1306-24-7, Cadmium selenide (CdSe), uses 1306-25-8, Cadmium telluride (CdTe), uses 1312-41-0, Antimony compd. with indium (1:1), uses 1313-04-8, Magnesium selenide (MgSe) 1314-13-2, Zinc oxide (ZnO), uses 1314-87-0, Lead sulfide (PbS) 1314-98-3, Zinc sulfide (ZnS), uses 1315-09-9, Zinc selenide (ZnSe) 1315-11-3, Zinc telluride (ZnTe) 1344-48-5, Mercury sulfide (HgS) 7440-56-4, Germanium, uses 9002-92-0 9005-00-9 12032-36-9, Magnesium sulfide (MgS) 12032-44-9, Magnesium telluride (MgTe) 12063-98-8, Gallium phosphide (GaP), uses 12064-03-8 12068-90-5, Mercury telluride (HgTe) 12069-00-0, Lead selenide (PbSe) 13149-86-5 20859-73-8, Aluminum phosphide (AlP) 21908-53-2, Mercury oxide (HgO) 22398-80-7, Indium phosphide (InP), uses 22831-42-1, Aluminum arsenide (AlAs) 24304-00-5, Aluminum nitride (AlN)

25152-52-7



25617-97-4, Gallium nitride (GaN) 25617-98-5, Indium nitride (InN)  
 RL: DEV (Device component use); USES (Uses)  
 (water-sol. fluorescent semiconductor **nanocrystals**)  
 IT 7782-49-2, Selenium, reactions  
 RL: DEV (Device component use); RCT (Reactant); USES (Uses)  
 (water-sol. fluorescent semiconductor **nanocrystals**)  
 IT 78-50-2, TOPO 506-82-1, Dimethyl cadmium 557-20-0, Diethyl zinc  
 3385-94-2, Hexamethyldisilathiane 4731-53-7, Trioctylphosphine  
 RL: RCT (Reactant)  
 (water-sol. fluorescent semiconductor **nanocrystals**)  
 IT 20612-73-1P, Trioctylphosphine selenide  
 RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation)  
 (water-sol. fluorescent semiconductor **nanocrystals**)

RE.CNT 4

RE

- (1) Chan, W; SCIENCE 1998, V281(5385), P2016 CA
- (2) Dabbousi, B; JOURNAL OF PHYSICAL CHEMISTRY B, MATERIALS, SURFACES, INTERFACES AND BIOPHYSICAL V101(46), P9463 CA
- (3) Lawless, D; JOURNAL OF PHYSICAL CHEMISTRY 1995, V99, P10329 CA
- (4) Paul, A; US 5751018 A 1998 CA

L4 ANSWER 6 OF 10 CA COPYRIGHT 2001 ACS

AN 131:348775 CA

TI Organo luminescent semiconductor **nanocrystal** probes for biological applications and process for making and using such probes

IN Weiss, Shimon; Bruchez, Marcel, Jr.; Alivisatos, Paul

PA Regents of the University of California, USA

SO U.S., 13 pp.

CODEN: USXXAM

DT Patent

LA English

IC ICM G01N001-30

ICS G01N021-63

NCL 250307000

CC 9-5 (Biochemical Methods)

Section cross-reference(s): 29, 79, 80

FAN.CNT 3

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	US 5990479	A	19991123	US 1997-978450	19971125
	US 6207392	B1	20010327	US 1999-259982	19990301
	JP 2000275180	A2	20001006	JP 1999-80598	19990324
PRAI	US 1997-978450	A2	19971125		

AB A luminescent semiconductor **nanocrystal** compd. is described which is capable of **linking** to an affinity mol. The compd. comprises (1) a semiconductor **nanocrystal** capable of emitting electromagnetic radiation (luminescing) in a narrow wavelength band and/or

absorbing energy, and/or scattering or diffracting electromagnetic radiation--when excited by an electromagnetic radiation source (of narrow or broad bandwidth) or a particle beam; and (2) at least one **linking** agent, having a first portion **linked** to the semiconductor **nanocrystal** and a second portion capable of **linking** to an affinity mol. The luminescent semiconductor **nanocrystal** compd. is **linked** to an affinity mol. to form an organo luminescent semiconductor **nanocrystal** probe capable of bonding with a detectable substance in a material being analyzed, and capable of emitting electromagnetic radiation in a narrow wavelength band and/or absorbing, scattering, or diffracting energy when excited by an electromagnetic radiation source (of narrow or broad bandwidth) or a

particle beam. The probe is stable to repeated exposure to light in the presence of oxygen and/or other radicals. Further described is a process for making the luminescent semiconductor **nanocrystal** compd. and for making the organo luminescent semiconductor **nanocrystal** probe comprising the luminescent semiconductor **nanocrystal** compd. **linked** to an affinity mol. capable of bonding to a detectable substance. A process is also described for using the probe to det. the presence of a detectable substance in a material. 4-Mercaptobenzoic acid at pH 10 was reacted with trisocylphosphine oxide-coated CdSe/CdS **core/shell nanocrystals**

- ST organo luminescent semiconductor **nanocrystal** probe biol analysis; **linking** agent luminescent semiconductor **nanocrystal**
- IT Biological materials
  - (anal. of; organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT Inorganic compounds
  - Organic compounds, analysis
  - RL: ANT (Analyte); ANST (Analytical study)
  - (anal. of; organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT Glass, uses
  - RL: DEV (Device component use); USES (Uses)
  - (as coating on semiconductor **nanocrystal**; organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT Coating materials
  - (glass, on semiconductor **nanocrystal**; organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT Affinity labeling
  - Analysis
  - Luminescence spectroscopy
  - Luminescent substances
  - Nanocrystals**
  - Scanning electron microscopy
  - Semiconductor materials
  - Transmission electron microscopy
  - X-ray
  - X-ray diffractometry
  - (organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT X-ray spectroscopy
  - (scattering; organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT 1306-24-7, Cadmium selenide (CdSe), uses
  - RL: DEV (Device component use); USES (Uses)
  - (CdS and, **core/shell nanocrystals** of;
  - organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT 1306-23-6, Cadmium sulfide (CdS), uses
  - RL: DEV (Device component use); USES (Uses)
  - (CdSe and, **core/shell nanocrystals** of;
  - organo luminescent semiconductor **nanocrystal** probes for biol. applications and process for making and using such probes)
- IT 78-50-2

RL: DEV (Device component use); USES (Uses)  
 (CdSe/CdS **core/shell nanocrystals** coated  
 with, **linking** agent binding to; organo luminescent  
 semiconductor **nanocrystal** probes for biol. applications and  
 process for making and using such probes)

IT 7631-86-9, Silica, uses  
 RL: DEV (Device component use); USES (Uses)  
 (as coating on semiconductor **nanocrystal**; organo luminescent  
 semiconductor **nanocrystal** probes for biol. applications and  
 process for making and using such probes)

IT 75-77-4, Chlorotrimethylsilane, reactions 1074-36-8, 4-Mercaptobenzoic  
 acid 4420-74-0, 3-Mercaptopropyltrimethoxysilane 13822-56-5,  
 Aminopropyltrimethoxysilane 23843-64-3  
 RL: RCT (Reactant)  
 (in prepn. of luminescent semiconductor **nanocrystals**; organo  
 luminescent semiconductor **nanocrystal** probes for biol.  
 applications and process for making and using such probes)

RE.CNT 8  
 RE  
 (1) Alivisatos; US 5262357 1993 CA  
 (2) Alivisatos; US 5505928 1996 CA  
 (3) Alivisatos; US 5537000 1996 CA  
 (4) Alivisatos; US 5751018 1998 CA  
 (5) Dabbousi, B; Journal of Physical Chemistry B 1997, V101, P9463 CA  
 (6) Hinshaw; US 4637988 1987 CA  
 (7) Miyakawa; US 5319209 1994 CA  
 (8) Peng, X; Journal of the American Chemical Society V119(30), P7019 CA

L4 ANSWER 7 OF 10 CA COPYRIGHT 2001 ACS  
 AN 131:304484 CA  
 TI Structures and Properties of Nanoparticle Thin Films Formed via a  
 One-Step  
 Exchange-Cross-**Linking**-Precipitation Route

AU Leibowitz, Frank L.; Zheng, Wenxia; Maye, Mathew M.; Zhong, Chuan-Jian  
 CS Department of Chemistry, State University of New York at Binghamton,  
 Binghamton, NY, 13902, USA  
 SO Anal. Chem. (1999), 71(22), 5076-5083  
 CODEN: ANCHAM; ISSN: 0003-2700  
 PB American Chemical Society  
 DT Journal  
 LA English  
 CC 72-2 (Electrochemistry)  
 AB The structural and electrochem. properties of nanoparticle thin films  
 derived by a one-step exchange-crosslinking-pptn. route were  
 characterized. While there exists a stepwise layer-by-layer construction  
 method, the motivation stems from seeking an alternative and simpler  
 pathway to prep. such thin films as electrode nanomaterials. The model  
 system consisting of thiolate-encapsulated gold **nanocrystals** and  
 .alpha.,.omega.-alkanedithiol cross-**linkers** was studied. The  
 mixing of these 2 components in solns. allowed sequential exchanging,  
 crosslinking, and eventual pptn. of the dithiol-cross-**linked**  
**nanocrystals** as thin films on almost any substrates. A series of  
 comparative microscopic, spectroscopic, and electrochem. analyses were  
 performed on thin films derived from **nanocrystals** of 2- and 5-nm  
**core** sizes. The 5-nm particles were fabricated by size and shape  
 evolution of preformed 2-nm particles. The films were specularly  
 reflecting, electronically continuous, and remarkably comparable with  
 stepwise-derived thin films in structural, electronic, and electrochem.  
 properties. The electrochem. data were discussed in terms of thiolate  
 binding and barrier properties of the **core-shell**

structures, which may have potential chem. recognition applications.

ST gold nanoparticle film pptn alkanedithiol crosslinker structural electrochem property

IT Thiols (organic), uses  
 RL: NUU (Nonbiological use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)  
 (dithiols, cross-**linkers**; structural and electrochem. properties of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using)

IT Nanoparticles  
 (films; structural and electrochem. properties of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT Cyclic voltammetry  
 Microstructure  
 Stretching vibration  
 Thickness  
 (of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT Precipitation (chemical)  
 (prepn. of thiolate-encapsulated Au **nanocrystal** films by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT Films  
 (structural and electrochem. properties of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT 928-98-3, 1,5-Pentanedithiol 1191-08-8, 1,4-Butanedithiol 3489-28-9, 1,9-Nonanedithiol  
 RL: NUU (Nonbiological use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)  
 (cross-**linker**; structural and electrochem. properties of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using)

IT 109-79-5, Butanethiol 143-10-2, Decanethiol 1322-36-7, Dodecanethiol 2885-00-9, Octadecylthiol  
 RL: PEP (Physical, engineering or chemical process); PROC (Process)  
 (in prepn. of thiolate-encapsulated Au **nanocrystal** films by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT 16903-35-8, Hydrogentetrachloroaurate 16940-66-2, Sodium borohydride  
 RL: RCT (Reactant)  
 (in prepn. of thiolate-encapsulated Au **nanocrystal** films by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

IT 7440-57-5, Gold, properties  
 RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)  
 (structural and electrochem. properties of thiolate-encapsulated Au **nanocrystal** films derived by exchange-crosslinking-pptn. route using .alpha.,.omega.-alkanedithiol cross-**linkers**)

RE.CNT 47

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L4 ANSWER 8 OF 10 CA COPYRIGHT 2001 ACS

AN 131:93867 CA

TI Self-assembled thin films on electrodes from thiolate-encapsulated gold  
**nanocrystals**

AU Zhong, C. J.; Zheng, W. X.; Leibowitz, F. L.; Eichelburger, H.

CS Department of Chemistry, State University of New York at Binghamton,  
Binghamton, NY, 13902, USA

SO Proc. - Electrochem. Soc. (1999), 99-5(New Directions in  
Electroanalytical

Chemistry II), 226-235

CODEN: PESODO; ISSN: 0161-6374

PB Electrochemical Society

DT Journal

LA English

CC 72-2 (Electrochemistry)

Section cross-reference(s): 66

AB The fabrication and characterization of nanometer-sized and

monolayer-encapsulated nanoparticles, a much-anticipated development of mol. self-assembly, are fascinating areas because interesting electronic, optical, magnetic, catalytic and sensing properties can emerge by manipulating structures at both the encapsulating **shell** and the particle **core**. Intriguing abilities of such structural manipulations to fine-tune individual nanoelectrodes or collective arrays constitute the motivation of this study. Narrow-sized and shaped gold **nanocrystals** encapsulated with decanethiolate monolayers were fabricated via unusual size and shape evolution during synthetic manipulations. These **nanocrystals** were assembled as thin films on electrode surfaces via exchange reaction between alkanethiolates encapsulated on the **nanocrystals** and .alpha.,.omega.-alkyldithiols in the soln. The preliminary electrochem. study of the thin film assembly revealed interesting parallels and differences between monolayers on planar and **nanocrystal** surfaces. These results may have implications to the correlation between the thiolate binding properties at **nanocrystal** facets and the barrier properties of monolayer-encapsulated **nanocrystals** as electrode nanomaterials.

ST self assembled thin film electrode thiolate encapsulated gold **nanocrystal**; decanethiol encapsulated gold **nanocrystal** electrode; nonanedithiol **linked** gold **nanocrystal** electrode

IT Thiols (organic), uses  
 RL: DEV (Device component use); PRP (Properties); USES (Uses)  
 (electrodes from gold **nanocrystals** encapsulated with)

IT Monolayers  
 (nonanedithiol on gold electrodes)

IT Transmission electron microscopy  
 (of decanethiolate-encapsulated gold **nanocrystals**)

IT Electrodes  
 (planar; monolayers on **nanocrystal** vs. monolayers on)

IT Film electrodes  
**Nanocrystals**  
 (self-assembled thin films on electrodes from thiolate-encapsulated gold **nanocrystals**)

IT 3489-28-9, 1,9-Nonanedithiol  
 RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
 (cyclic voltammetry for nonanedithiol-**linked** gold **nanocrystal** and for nonanedithiol monolayer on unannealed and annealed gold in KOH soln.)

IT 1310-58-3, Potassium hydroxide (KOH), uses  
 RL: NUU (Nonbiological use, unclassified); PRP (Properties); USES (Uses)  
 (cyclic voltammetry for nonanedithiol-**linked** gold **nanocrystal** and for nonanedithiol monolayer on unannealed and annealed gold in KOH soln.)

IT 7440-06-4, Platinum, uses  
 RL: DEV (Device component use); PRP (Properties); USES (Uses)  
 (cyclic voltammetry on gold and nonanedithiol-**linked** gold **nanocrystal** and for nonanedithiol monolayer on gold and on platinum in potassium chloride soln.)

IT 7447-40-7, Potassium chloride, uses  
 RL: NUU (Nonbiological use, unclassified); PRP (Properties); USES (Uses)  
 (cyclic voltammetry on gold and nonanedithiol-**linked** gold **nanocrystal** and for nonanedithiol monolayer on gold and on platinum in potassium chloride soln.)

IT 13408-63-4, Ferrocyanide  
 RL: PRP (Properties); RCT (Reactant)  
 (cyclic voltammetry on gold and nonanedithiol-**linked** gold

**nanocrystal** and for nonanedithiol monolayer on gold and on platinum in potassium chloride soln.)

IT 143-10-2, Decanethiol 7440-57-5, Gold, uses  
RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process); USES (Uses)  
(self-assembled thin films on electrodes from thiolate-encapsulated gold **nanocrystals**)

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L4 ANSWER 9 OF 10 CA COPYRIGHT 2001 ACS

AN 130:330032 CA

TI Electrode nanomaterials self-assembled from thiolate-encapsulated gold  
**nanocrystals**

AU Zhong, C. J.; Zheng, W. X.; Leibowitz, F. L.

CS Department of Chemistry, State University of New York at Binghamton,  
Binghamton, NY, 13902, USA

SO Electrochem. Commun. (1999), 1(2), 72-77

CODEN: ECCMF9; ISSN: 1388-2481

PB Elsevier Science B.V.

DT Journal

LA English

CC 72-2 (Electrochemistry)

Section cross-reference(s): 56

AB This paper describes the preliminary findings of an investigation of thin  
film assembly from monolayer-encapsulated gold **nanocrystals** and  
1,9-nonanedithiols. The creation of novel electrode nanomaterials  
derived

from intriguing combinations of the encapsulating **shells** and the  
particle **cores** constitutes the motivation of this work.  
Narrow-sized, shaped and encapsulated **nanocrystals** were  
assembled as thin films on different substrates via an exchange reaction  
between alkanethiolates on the **nanocrystal shells** and  
dithiols in the soln. Both microscopic and spectroscopic data have  
confirmed the formation of dithiol-linked **nanocrystals**  
in the thin films. The electrochem. study has revealed interesting  
parallels and differences between monolayers on planar and  
**nanocrystal** gold surfaces, which have important implications to  
the correlation between binding properties at **nanocrystal** facets  
and the electrode properties of this interesting class of composite  
nanomaterials.

ST gold nanocrystal thiolate encapsulation electrode nanomaterial thin film  
assembly

IT Films

(electrode nanomaterials self-assembled from thiolate-encapsulated  
gold

**nanocrystals**)

IT Cyclic voltammetry

**Nanocrystals**

(for nonanedithiol monolayer and self-assembled layer from  
thiolate-encapsulated gold **nanocrystals**)

IT Microbalances

(in study of self-assembled layer from thiolate-encapsulated gold  
**nanocrystals**)



IT IR spectra  
(of dithiol monolayers and thin film assembly from monolayer-  
encapsulated gold **nanocrystals** and 1,9-nonanedithiols)  
IT Surface structure  
(of thin film assembly from monolayer-encapsulated gold  
**nanocrystals** and 1,9-nonanedithiols)  
IT 3489-28-9, 1,9-Nonanedithiol 7440-57-5, Gold, uses  
RL: NUU (Nonbiological use, unclassified); PRP (Properties); USES (Uses)  
(thin film assembly from monolayer-encapsulated gold  
**nanocrystals** and)

RE.CNT 49

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L4 ANSWER 10 OF 10 CA COPYRIGHT 2001 ACS

AN 123:70642 CA

TI **Nanocrystals** of II-VI semiconductor materials

AU Weller, Horst; Vossmeier, Tobias; Eychemueller, Alexander; Mews, Alf;  
Katsikas, Lynne; Reck, Guenter

CS Inst. Phys. Chemie, Univ. Hamburg, Hamburg, D-20146, Germany

SO Mater. Res. Soc. Symp. Proc. (1995), 358 (Microcrystalline and  
Nanocrystalline Semiconductors), 213-18

CODEN: MRSPDH; ISSN: 0272-9172

DT Journal; General Review

LA English

CC 75-0 (Crystallography and Liquid Crystals)

Section cross-reference(s): 73

AB A review with 14 refs. CdS nanoclusters ranging in diam. between 1 and 4 nm were prep'd. in aq. soln. using aliph. mercapto alcs. as ligands. The photon energies of the 1st absorption and the resp. oscillator strengths are in accordance with size quantization theory. Some clusters crystallize as macroscopic 3-dimensional superlattices which were studied by single crystal x-ray anal. The neutral Cd<sub>17</sub>S<sub>4</sub>(RS)<sub>26</sub> clusters are covalently **linked** in the superlattice the structure of which exhibits self similarity to the interior structure of the clusters. Onion-**shell**-like composite particles from CdS and HgS were prep'd. by successive substitution and re-pptn. processes. Particles with a **core** radius of 2 nm, a **shell** of up to 1 nm HgS followed by a final **shell** of up to 1.5 nm CdS were obtained. Electrons and holes were localized in the HgS **shell** giving rise to excitonic fluorescence.

ST review **nanocrystal** Group IIB chalcogenide; cadmium sulfide  
nanocluster review

IT Clusters

(cadmium sulfide nanoclusters)

IT Group IIB element chalcogenides

RL: PEP (Physical, engineering or chemical process); PRP (Properties);  
PROC (Process)

(**nanocrystals** of)

IT Crystallization

(of cadmium sulfide **nanocrystals**)

IT Fluorescence

(of cadmium sulfide-mercury sulfide composite particles)

IT 1344-48-5, Mercury sulfide (HgS)

RL: PRP (Properties)

(fluorescence of composite particles of cadmium sulfide and)

IT 1306-23-6, Cadmium sulfide (CdS), properties

RL: PEP (Physical, engineering or chemical process); PRP (Properties);  
PROC (Process)

(**nanocrystals** and nanoclusters of)

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NEWS 8 Jun 20 Published patent applications (A1) are now in USPATFULL  
NEWS 9 JUL 13 New SDI alert frequency now available in Derwent's  
DWPI and DPCI

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AND CURRENT DISCOVER FILE IS DATED 06 APRIL 2001  
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This file contains CAS Registry Numbers for easy and accurate  
substance identification.

=> s nanocrystal?

L1 656 NANOCRYSTAL?

=> s quantum dot?

21348 QUANTUM  
271948 DOT?  
L2 347 QUANTUM DOT?  
(QUANTUM(W)DOT?)

=> s l1 or l2

L3 962 L1 OR L2

=> s l3 and core?

254401 CORE?  
L4 208 L3 AND CORE?

=> s l4 and shell?

164655 SHELL?  
L5 57 L4 AND SHELL?

=> s l5 and link?

469548 LINK?  
L6 29 L5 AND LINK?

=> d ti 1-29

L6 ANSWER 1 OF 29 USPATFULL  
TI METHODS OF SELECTING INTERNALIZING ANTIBODIES

L6 ANSWER 2 OF 29 USPATFULL

TI Method for producing nanoparticles of transition metals

L6 ANSWER 3 OF 29 USPATFULL

TI **Nanocrystals** having polynucleotide strands and their use to form dendrimers in a signal amplification system

L6 ANSWER 4 OF 29 USPATFULL

TI Detection of chromosome copy number changes to distinguish melanocytic nevi from malignant melanoma

L6 ANSWER 5 OF 29 USPATFULL

TI Fluorescence filter cube for fluorescence detection and imaging

L6 ANSWER 6 OF 29 USPATFULL

TI Methods and assay kits for detecting altered mononuclear cell phenotype related to a pro-tumor immune response

L6 ANSWER 7 OF 29 USPATFULL

TI Water-soluble fluorescent **nanocrystals**

L6 ANSWER 8 OF 29 USPATFULL

TI Metal nanoshells

L6 ANSWER 9 OF 29 USPATFULL

TI Electrochromic polymeric solid films, manufacturing electrochromic devices using such solid films, and processes for making such films and devices

L6 ANSWER 10 OF 29 USPATFULL

TI Functionalized **nanocrystals** and their use in labeling for strand synthesis or sequence determination

L6 ANSWER 11 OF 29 USPATFULL

TI Method for preparation of metal intercalated fullerene-like metal chalcogenides

L6 ANSWER 12 OF 29 USPATFULL

TI Compounds and methods for depositing pure thin films of gallium nitride semiconductor

L6 ANSWER 13 OF 29 USPATFULL

TI Semiconductor **nanocrystal** probes for biological applications and process for making and using such probes

L6 ANSWER 14 OF 29 USPATFULL

TI Lipophilic, functionalized **nanocrystals** and their use for fluorescence labeling of membranes

L6 ANSWER 15 OF 29 USPATFULL

TI Continuous flow process for production of semiconductor **nanocrystals**

L6 ANSWER 16 OF 29 USPATFULL

TI Surface-functionalized, probe-containing nanospheres

L6 ANSWER 17 OF 29 USPATFULL

TI Magnetic storage medium formed of nanoparticles

L6 ANSWER 18 OF 29 USPATFULL

TI Nanostructured oxides and hydroxides and methods of synthesis therefor

L6 ANSWER 19 OF 29 USPATFULL  
 TI Methods for delivering bioactive agents to regions of elevated temperatures  
  
 L6 ANSWER 20 OF 29 USPATFULL  
 TI Fabrication of two-dimensionally arrayed quantum device  
  
 L6 ANSWER 21 OF 29 USPATFULL  
 TI Functionalized **nanocrystals** and their use in detection systems  
  
 L6 ANSWER 22 OF 29 USPATFULL  
 TI Magnetic nanocomposite compositions and processes for the preparation and use thereof  
  
 L6 ANSWER 23 OF 29 USPATFULL  
 TI Organo Luminescent semiconductor **nanocrystal** probes for biological applications and process for making and using such probes  
  
 L6 ANSWER 24 OF 29 USPATFULL  
 TI Electrochromic polymeric solid films, manufacturing electrochromic devices using such solid films, and processes for making such solid films and devices  
  
 L6 ANSWER 25 OF 29 USPATFULL  
 TI Methods of joining metal components and resulting articles particularly automotive torque converter assemblies  
  
 L6 ANSWER 26 OF 29 USPATFULL  
 TI Electrical component containing magnetic particles  
  
 L6 ANSWER 27 OF 29 USPATFULL  
 TI Magnetic refrigerant compositions and processes for making and using  
  
 L6 ANSWER 28 OF 29 USPATFULL  
 TI Targeted transfection nanoparticles  
  
 L6 ANSWER 29 OF 29 USPATFULL  
 TI **Nanocrystalline** magnetic iron oxide particles-method for preparation and use in medical diagnostics and therapy

=> d bib 1-29

L6 ANSWER 1 OF 29 USPATFULL  
 AN 2001:114494 USPATFULL  
 TI METHODS OF SELECTING INTERNALIZING ANTIBODIES  
 IN MARKS, JAMES D., KENSINGTON, CA, United States  
 POUL, MARIE ALIX, SAN FRANCISCO, CA, United States  
 BECERRIL, BALTAZAR, MORELOS, Mexico  
 PI US 2001008759 A1 20010719  
 AI US 1999-249529 A1 19990212 (9)  
 PRAI US 1998-82953 19980424 (60)  
 DT Utility  
 FS APPLICATION  
 LREP TOM HUNTER, C/O SKJERVEN MORRILL MACPHERSON LLP, 25 METRO DRIVE, SUITE 700, SAN JOSE, CA, 95110  
 CLMN Number of Claims: 50  
 ECL Exemplary Claim: 1

DRWN 9 Drawing Page(s)  
LN.CNT 3118  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 2 OF 29 USPATFULL  
AN 2001:112394 USPATFULL  
TI Method for producing nanoparticles of transition metals  
IN Murray, Christopher Bruce, New York, NY, United States  
Sun, Shouheng, Ossining, NY, United States  
PA International Business Machines Corporation, Armonk, NY, United States  
(U.S. corporation)  
PI US 6262129 B1 20010717  
AI US 1998-127005 19980731 (9)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Lovering, Richard D.  
LREP McGinn & Gibb, PLLC  
CLMN Number of Claims: 43  
ECL Exemplary Claim: 1  
DRWN 18 Drawing Figure(s); 16 Drawing Page(s)  
LN.CNT 1278  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 3 OF 29 USPATFULL  
AN 2001:112047 USPATFULL  
TI **Nanocrystals** having polynucleotide strands and their use to  
form dendrimers in a signal amplification system  
IN Barbera-Guillem, Emilio, Powell, OH, United States  
Nelson, M. Bud, Worthington, OH, United States  
Castro, Stephanie L., Columbus, OH, United States  
PA Bio-Pixels Ltd., Westerville, OH, United States (U.S. corporation)  
PI US 6261779 B1 20010717  
AI US 1999-437076 19991109 (9)  
PRAI US 1998-107828 19981110 (60)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Brusca, John S.; Assistant Examiner: Lundgren,  
Jeffrey  
S.  
LREP Nelson, M. Bud  
CLMN Number of Claims: 22  
ECL Exemplary Claim: 1  
DRWN 11 Drawing Figure(s); 7 Drawing Page(s)  
LN.CNT 1547  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 4 OF 29 USPATFULL  
AN 2001:112044 USPATFULL  
TI Detection of chromosome copy number changes to distinguish melanocytic  
nevi from malignant melanoma  
IN Bastian, Boris, San Francisco, CA, United States  
Pinkel, Daniel, Walnut Creek, CA, United States  
PA The Regents of the University of California, Oakland, CA, United States  
(U.S. corporation)  
PI US 6261775 B1 20010717  
AI US 1999-288940 19990409 (9)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Arthur, Lisa B.; Assistant Examiner: Goldberg,  
Jeanine

LREP Townsend and Townsend and Crew LLP  
CLMN Number of Claims: 18  
ECL Exemplary Claim: 1  
DRWN 4 Drawing Figure(s); 4 Drawing Page(s)  
LN.CNT 1458  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 5 OF 29 USPATFULL  
AN 2001:98682 USPATFULL  
TI Fluorescence filter cube for fluorescence detection and imaging  
IN Barbera-Guillem, Emilio, Powell, OH, United States  
PA BioCrystal Ltd., Westerville, OH, United States (U.S. corporation)  
PI US 6252664 B1 20010626  
AI US 1999-419134 19991015 (9)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Evans, F. L.  
LREP Nelson, M. Bud  
CLMN Number of Claims: 30  
ECL Exemplary Claim: 1  
DRWN 10 Drawing Figure(s); 6 Drawing Page(s)  
LN.CNT 815  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 6 OF 29 USPATFULL  
AN 2001:97641 USPATFULL  
TI Methods and assay kits for detecting altered mononuclear cell phenotype related to a pro-tumor immune response  
IN Barbera-Guillem, Emilio, Powell, OH, United States  
Nelson, M. Bud, Worthington, OH, United States  
PA BioCrystal Ltd., Westerville, OH, United States (U.S. corporation)  
PI US 6251616 B1 20010626  
AI US 1999-435289 19991105 (9)  
RLI Continuation-in-part of Ser. No. US 1999-333103, filed on 15 Jun 1999, now abandoned  
PRAI US 1999-115946 19990114 (60)  
US 1999-117895 19990129 (60)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Eyler, Yvonne; Assistant Examiner: Nichols, Jennifer  
LREP Nelson, M. Bud  
CLMN Number of Claims: 8  
ECL Exemplary Claim: 1  
DRWN 8 Drawing Figure(s); 4 Drawing Page(s)  
LN.CNT 2004  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 7 OF 29 USPATFULL  
AN 2001:97328 USPATFULL  
TI Water-soluble fluorescent **nanocrystals**  
IN Bawendi, Moungi G., Boston, MA, United States  
Mikulec, Frederick V., Somerville, MA, United States  
Lee, Jin-Kyu, Seoul, Korea, Republic of  
PA Massachusetts Institute of Technology, Cambridge, MA, United States (U.S. corporation)  
PI US 6251303 B1 20010626  
AI US 1998-156863 19980918 (9)  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Koslow, C. Melissa



LREP Fish & Richardson P.C.  
CLMN Number of Claims: 43  
ECL Exemplary Claim: 1  
DRWN 9 Drawing Figure(s); 7 Drawing Page(s)  
LN.CNT 1296  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 8 OF 29 USPATFULL  
AN 2001:90132 USPATFULL  
TI Metal nanoshells  
IN Oldenburg, Steven J., Houston, TX, United States  
Averitt, Richard D., Houston, TX, United States  
Halas, Nancy J., Houston, TX, United States  
PI US 2001002275 A1 20010531  
AI US 2001-755229 A1 20010105 (9)  
RLI Division of Ser. No. US 1998-38377, filed on 11 Mar 1998, PENDING  
PRAI US 1997-40971 19970312 (60)  
US 1997-40570 19970314 (60)  
DT Utility  
FS APPLICATION  
LREP Sarah S. Bittner, Conley, Rose & Tayon, P.C., P.O. Box 3267, Houston,  
TX, 77253-3267  
CLMN Number of Claims: 54  
ECL Exemplary Claim: 1  
DRWN 6 Drawing Page(s)  
LN.CNT 1138

L6 ANSWER 9 OF 29 USPATFULL  
AN 2001:85966 USPATFULL  
TI Electrochromic polymeric solid films, manufacturing electrochromic  
devices using such solid films, and processes for making such films and  
devices  
IN Varaprasad, Desaraju V., Holland, MI, United States  
Zhao, Mingtang, Holland, MI, United States  
Dornan, Craig Allen, Grand Haven, MI, United States  
Agrawal, Anoop, Tucson, AZ, United States  
Allemand, Pierre-Marc, Tucson, AZ, United States  
Lynam, Niall R., Holland, MI, United States  
PA Donnelly Corporation, Holland, MI, United States (U.S. corporation)  
PI US 6245262 B1 20010612  
AI US 1999-251937 19990218 (9)  
RLI Continuation of Ser. No. US 1997-824501, filed on 27 Mar 1997, now  
patented, Pat. No. US 5910854 Continuation-in-part of Ser. No. US  
1995-406663, filed on 20 Mar 1995, now abandoned Continuation of Ser.  
No. US 1994-193557, filed on 8 Feb 1994, now abandoned  
Continuation-in-part of Ser. No. US 1993-23675, filed on 26 Feb 1993,  
now abandoned  
DT Utility  
FS GRANTED  
EXNAM Primary Examiner: Vargot, Mathieu D.  
LREP Fitzpatrick, Cella, Harper & Scinto  
CLMN Number of Claims: 25  
ECL Exemplary Claim: 1  
DRWN 2 Drawing Figure(s); 1 Drawing Page(s)  
LN.CNT 5245

L6 ANSWER 10 OF 29 USPATFULL  
AN 2001:59625 USPATFULL  
TI Functionalized **nanocrystals** and their use in labeling for  
strand synthesis or sequence determination

IN Barbera-Guillem, Emilio, Powell, OH, United States  
Nelson, M. Bud, Worthington, OH, United States  
Castro, Stephanie L., Columbus, OH, United States  
PA Bio-Pixels Ltd., Westerville, OH, United States (U.S. corporation)  
PI US 6221602 B1 20010424  
AI US 1999-436159 19991109 (9)  
PRAI US 1998-107829 19981110 (60)  
US 1998-109620 19981124 (60)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Fredman, Jeffrey; Assistant Examiner: Chakrabarti,  
Arun Kr.  
LREP Nelson, M. Bud  
CLMN Number of Claims: 22  
ECL Exemplary Claim: 1  
DRWN 4 Drawing Figure(s); 3 Drawing Page(s)  
LN.CNT 1275  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 11 OF 29 USPATFULL  
AN 2001:55421 USPATFULL  
TI Method for preparation of metal intercalated fullerene-like metal  
chalcogenides  
IN Homyonfer, Moshe, Holon, Israel  
Tenne, Reshef, Rehovot, Israel  
Feldman, Yishay, Ashdod, Israel  
PA Yeda Research and Development Co., Ltd., Rehovot, Israel (non-U.S.  
corporation)  
PI US 6217843 B1 20010417  
WO 9823796 19980604  
AI US 2000-308663 20000403 (9)  
WO 1997-IL390 19971127  
20000403 PCT 371 date  
20000403 PCT 102(e) date  
PRAI IL 1996-119719 19961129  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Turner, Archene  
LREP Browdy and Neimark  
CLMN Number of Claims: 21  
ECL Exemplary Claim: 1  
DRWN 15 Drawing Figure(s); 9 Drawing Page(s)  
LN.CNT 1152  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 12 OF 29 USPATFULL  
AN 2001:44401 USPATFULL  
TI Compounds and methods for depositing pure thin films of gallium nitride  
semiconductor  
IN Kouvetakis, John, Mesa, AZ, United States  
McMurrin, Jeff, Mesa, AZ, United States  
PA Arizona Board of Regents, Tempe, AZ, United States (U.S. corporation)  
PI US 6207844 B1 20010327  
AI US 1999-310490 19990512 (9)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Kopec, Mark  
LREP Baker Botts L.L.P.  
CLMN Number of Claims: 5  
ECL Exemplary Claim: 1

DRWN 23 Drawing Figure(s); 18 Drawing Page(s)

LN.CNT 1380

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 13 OF 29 USPATFULL

AN 2001:43950 USPATFULL

TI Semiconductor **nanocrystal** probes for biological applications  
and process for making and using such probes

IN Weiss, Shimon, Pinole, CA, United States

Bruchez, Marcel, Albany, CA, United States

Alivisatos, Paul, Oakland, CA, United States

PA The Regents of the University of California, Oakland, CA, United States  
(U.S. corporation)

PI US 6207392 B1 20010327

AI US 1999-259982 19990301 (9)

RLI Continuation-in-part of Ser. No. US 1997-978450, filed on 25 Nov 1997,  
now patented, Pat. No. US 5990479

DT Utility

FS Granted

EXNAM Primary Examiner: Brusca, John S.; Assistant Examiner: Siu, Stephen

LREP Martin, Paul R., Taylor, Kerry S., Taylor, John F.

CLMN Number of Claims: 155

ECL Exemplary Claim: 1

DRWN 6 Drawing Figure(s); 4 Drawing Page(s)

LN.CNT 2646

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 14 OF 29 USPATFULL

AN 2001:29366 USPATFULL

TI Lipophilic, functionalized **nanocrystals** and their use for  
fluorescence labeling of membranes

IN Barbera-Guillem, Emilio, Powell, OH, United States

PA Bio-Pixels Ltd., Westerville, OH, United States (U.S. corporation)

PI US 6194213 B1 20010227

AI US 1999-458752 19991210 (9)

DT Utility

FS Granted

EXNAM Primary Examiner: Leary, Louise N.

LREP Nelson, M Bud

CLMN Number of Claims: 21

ECL Exemplary Claim: 1

DRWN 2 Drawing Figure(s); 1 Drawing Page(s)

LN.CNT 918

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 15 OF 29 USPATFULL

AN 2001:13773 USPATFULL

TI Continuous flow process for production of semiconductor  
**nanocrystals**

IN Barbera-Guillem, Emilio, Powell, OH, United States

Thurston, Marlin O., Columbus, OH, United States

PA BioCrystal Ltd., Westerville, OH, United States (U.S. corporation)

PI US 6179912 B1 20010130

AI US 1999-468418 19991220 (9)

DT Utility

FS Granted

EXNAM Primary Examiner: Kunemund, Robert

LREP Nelson, M. Bud

CLMN Number of Claims: 27

ECL Exemplary Claim: 11

DRWN 4 Drawing Figure(s); 4 Drawing Page(s)

LN.CNT 1116

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 16 OF 29 USPATFULL

AN 2001:10550 USPATFULL

TI Surface-functionalized, probe-containing nanospheres

IN Guo, Congyuan, Columbia, MO, United States

Thomas, Rhys N., Fayette, MO, United States

PA Fayette Environmental Services, Inc., Fayette, MO, United States (U.S. corporation)

PI US 6177088 B1 20010123

AI US 1999-226233 19990107 (9)

DT Utility

FS Granted

EXNAM Primary Examiner: Kulkosky, Peter F.

LREP Simunic, Joan L. Stites & Harbison

CLMN Number of Claims: 29

ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 850

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 17 OF 29 USPATFULL

AN 2000:170758 USPATFULL

TI Magnetic storage medium formed of nanoparticles

IN Black, Charles T., White Plains, NY, United States

Gates, Stephen M., Ossining, NY, United States

Murray, Christopher B., New York, NY, United States

Sun, Shouheng, Ossining, NY, United States

PA International Business Machines Corporation, Armonk, NY, United States (U.S. corporation)

PI US 6162532 20001219

AI US 1998-127453 19980731 (9)

DT Utility

FS Granted

EXNAM Primary Examiner: Kiliman, Leszek

LREP McGinn & Gibb, P.C.

CLMN Number of Claims: 20

ECL Exemplary Claim: 1

DRWN 8 Drawing Figure(s); 6 Drawing Page(s)

LN.CNT 638

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 18 OF 29 USPATFULL

AN 2000:170756 USPATFULL

TI Nanostructured oxides and hydroxides and methods of synthesis therefor

IN Xiao, Tongsan D., Rocky Hill, CT, United States

Strutt, Peter R., Mansfield Center, CT, United States

Kear, Bernard H., Whitehouse Station, NJ, United States

Chen, Huimin, Storrs, CT, United States

Wang, Donald M., Storrs, CT, United States

PA University of Connecticut, Storrs, CT, United States (U.S. corporation)

PI US 6162530 20001219

AI US 1997-971817 19971117 (8)

PRAI US 1996-31355 19961118 (60)

US 1996-31672 19961122 (60)

US 1997-39888 19970305 (60)

DT Utility

FS Granted

EXNAM Primary Examiner: Raimund, Christopher  
LREP Cantor Colburn LLP  
CLMN Number of Claims: 51  
ECL Exemplary Claim: 1  
DRWN 40 Drawing Figure(s); 20 Drawing Page(s)  
LN.CNT 1455

L6 ANSWER 19 OF 29 USPATFULL  
AN 2000:149698 USPATFULL  
TI Methods for delivering bioactive agents to regions of elevated temperatures  
IN Unger, Evan C., Tucson, AZ, United States  
PA ImaRx Pharmaceutical Corp., Tucson, AZ, United States (U.S. corporation)  
PI US 6143276 20001107  
AI US 1997-823791 19970321 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Dees, Jose' G.; Assistant Examiner: Hartley, Michael G.  
LREP Woodcock, Washburn, Kurtz, Mackiewicz & Norris LLP  
CLMN Number of Claims: 60  
ECL Exemplary Claim: 1  
DRWN 4 Drawing Figure(s); 4 Drawing Page(s)  
LN.CNT 3331  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 20 OF 29 USPATFULL  
AN 2000:124852 USPATFULL  
TI Fabrication of two-dimensionally arrayed quantum device  
IN Yamashita, Ichiro, Nara, Japan  
PA Matsushita Electric Industrial Co., Ltd., Osaka, Japan (non-U.S. corporation)  
PI US 6121075 20000919  
AI US 1999-228276 19990111 (9)  
RLI Division of Ser. No. US 1998-86672, filed on 29 May 1998  
PRAI JP 1997-157436 19970530  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Bowers, Charles; Assistant Examiner: Christianson, Keith  
LREP Wenderoth, Lind & Ponack, L.L.P.  
CLMN Number of Claims: 2  
ECL Exemplary Claim: 1  
DRWN 15 Drawing Figure(s); 6 Drawing Page(s)  
LN.CNT 641  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 21 OF 29 USPATFULL  
AN 2000:117419 USPATFULL  
TI Functionalized **nanocrystals** and their use in detection systems  
IN Castro, Stephanie L., Columbus, OH, United States  
Barbera-Guillem, Emilio, Powell, OH, United States  
PA BioCrystal Ltd., Westerville, OH, United States (U.S. corporation)  
PI US 6114038 20000905  
AI US 1999-372729 19990811 (9)  
PRAI US 1998-107829 19981110 (60)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Nutter, Nathan M.

LREP Nelson, M. Bud  
CLMN Number of Claims: 47  
ECL Exemplary Claim: 1  
DRWN 4 Drawing Figure(s); 3 Drawing Page(s)  
LN.CNT 1109  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 22 OF 29 USPATFULL  
AN 2000:44159 USPATFULL  
TI Magnetic nanocomposite compositions and processes for the preparation and use thereof  
IN Ziolo, Ronald F., Webster, NY, United States  
Braungart, Kathleen M., Rochester, NY, United States  
PA Xerox Corporation, Stamford, CT, United States (U.S. corporation)  
PI US 6048920 20000411  
AI US 1994-290125 19940815 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Yoon, Tae  
LREP Haack, John L.  
CLMN Number of Claims: 3  
ECL Exemplary Claim: 1  
DRWN No Drawings  
LN.CNT 713  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 23 OF 29 USPATFULL  
AN 1999:151577 USPATFULL  
TI Organo Luminescent semiconductor **nanocrystal** probes for biological applications and process for making and using such probes  
IN Weiss, Shimon, Pinole, CA, United States  
Bruchez, Jr., Marcel, Albany, CA, United States  
Alivisatos, Paul, Oakland, CA, United States  
PA Regents of the University of California, Oakland, CA, United States (U.S. corporation)  
PI US 5990479 19991123  
AI US 1997-978450 19971125 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Berman, Jack I.  
LREP Taylor, John P., Martin, Paul R., Taylor, Kerry S.  
CLMN Number of Claims: 50  
ECL Exemplary Claim: 1  
DRWN 5 Drawing Figure(s); 3 Drawing Page(s)  
LN.CNT 1013  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 24 OF 29 USPATFULL  
AN 1999:65607 USPATFULL  
TI Electrochromic polymeric solid films, manufacturing electrochromic devices using such solid films, and processes for making such solid films and devices  
IN Varaprasad, Desaraju V., Holland, MI, United States  
Zhao, Mingtang, Holland, MI, United States  
Dornan, Craig Allen, Grand Haven, MI, United States  
Agrawal, Anoop, Tucson, AZ, United States  
Allemand, Pierre-Marc, Tucson, AZ, United States  
Lynam, Niall R., Holland, MI, United States  
PA Donnelly Corporation, Holland, MI, United States (U.S. corporation)  
PI US 5910854 19990608

AI US 1997-824501 19970326 (8)  
RLI Continuation-in-part of Ser. No. US 1995-406663, filed on 20 Mar 1995,  
now abandoned which is a continuation of Ser. No. US 1994-193557, filed  
on 8 Feb 1994, now abandoned which is a continuation-in-part of Ser.  
No. US 1993-23675, filed on 26 Feb 1993, now abandoned  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Epps, Georgia; Assistant Examiner: Bey, Dawn-Marie  
LREP Fitzpatrick Cella Harper & Scinto  
CLMN Number of Claims: 27  
ECL Exemplary Claim: 1  
DRWN 2 Drawing Figure(s); 1 Drawing Page(s)  
LN.CNT 5364  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 25 OF 29 USPATFULL  
AN 1999:56176 USPATFULL  
TI Methods of joining metal components and resulting articles particularly  
automotive torque converter assemblies  
IN Mistry, Pravin, Shelby Township, MI, United States  
Liu, Shengzhong, Canton, MI, United States  
Turchan, Manuel C., Northville, MI, United States  
PA QQC, Inc., Dearborn, MI, United States (U.S. corporation)  
PI US 5902498 19990511  
AI US 1997-822829 19970324 (8)  
RLI Continuation of Ser. No. US 1994-366782, filed on 30 Dec 1994, now  
abandoned which is a continuation-in-part of Ser. No. US 1994-297986,  
filed on 30 Aug 1994, now abandoned which is a continuation-in-part of  
Ser. No. US 1994-296550, filed on 25 Aug 1994, now abandoned  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Mills, Gregory  
LREP Harness, Dickey & Pierce, P.L.C.  
CLMN Number of Claims: 37  
ECL Exemplary Claim: 1  
DRWN 19 Drawing Figure(s); 7 Drawing Page(s)  
LN.CNT 1575  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 26 OF 29 USPATFULL  
AN 1998:150585 USPATFULL  
TI Electrical component containing magnetic particles  
IN Swift, Joseph A., Ontario, NY, United States  
Ziolo, Ronald F., Webster, NY, United States  
Wallace, Stanley J., Victor, NY, United States  
PA Xerox Corporation, Stamford, CT, United States (U.S. corporation)  
PI US 5843567 19981201  
AI US 1997-868390 19970603 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Krass, Frederick  
LREP Soong, Zosan S.  
CLMN Number of Claims: 17  
ECL Exemplary Claim: 1  
DRWN 9 Drawing Figure(s); 3 Drawing Page(s)  
LN.CNT 1104  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 27 OF 29 USPATFULL

AN 97:53876 USPATFULL  
TI Magnetic refrigerant compositions and processes for making and using  
IN Ziolo, Ronald F., Webster, NY, United States  
Kroll, Elizabeth C., Hamilton, Canada  
Palacios, Javier Tejada, Barcelona, Spain  
Zhang, Xixiang, Barcelona, Spain  
PA Xerox Corporation, Stamford, CT, United States (U.S. corporation)  
PI US 5641424 19970624  
AI US 1995-500215 19950710 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Bonner, Melissa  
LREP Haack, John L.  
CLMN Number of Claims: 15  
ECL Exemplary Claim: 1  
DRWN 1 Drawing Figure(s); 1 Drawing Page(s)  
LN.CNT 1093  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 28 OF 29 USPATFULL  
AN 95:94698 USPATFULL  
TI Targeted transfection nanoparticles  
IN Kossovsky, Nir, Los Angeles, CA, United States  
Hnatyszyn, H. James, Los Angeles, CA, United States  
Gelman, Andrew, Los Angeles, CA, United States  
PA The Regents of the University of California, Oakland, CA, United States  
(U.S. corporation)  
PI US 5460831 19951024  
AI US 1993-147751 19931104 (8)  
DCD 20100112  
RLI Continuation-in-part of Ser. No. US 1993-199, filed on 4 Jan 1993, now  
patented, Pat. No. US 5334394 which is a continuation-in-part of Ser.  
No. US 1991-690601, filed on 24 Apr 1991, now patented, Pat. No. US  
5178882 which is a continuation-in-part of Ser. No. US 1990-542255,  
filed on 22 Jun 1990, now patented, Pat. No. US 5219577  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Page, Thurman K.; Assistant Examiner: Spear, James M.  
LREP Poms, Smith, Lande & Rose  
CLMN Number of Claims: 16  
ECL Exemplary Claim: 1  
DRWN No Drawings  
LN.CNT 895  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L6 ANSWER 29 OF 29 USPATFULL  
AN 95:57876 USPATFULL  
TI **Nanocrystalline** magnetic iron oxide particles-method for  
preparation and use in medical diagnostics and therapy  
IN Kresse, Mayk, Berlin, Germany, Federal Republic of  
Lawaczek, Rudiger, Berlin, Germany, Federal Republic of  
Pfefferer, Detlef, Berlin, Germany, Federal Republic of  
PA Institut fur Diagnostikforschung GmbH an der Freien Universitat Berlin,  
Berlin, Germany, Federal Republic of (non-U.S. corporation)  
PI US 5427767 19950627  
AI US 1992-882130 19920513 (7)  
PRAI DE 1991-41177827 19910528  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Hollinden, Gary E.